

## Definitions

**Real surface** separates a body from the surrounding medium. (EN ISO 4287)

**Stylus instrument** enables two-dimensional tracing of a surface. The stylus is traversed normal to the surface at constant speed. (EN ISO 3274)

**Traced profile** is the enveloping profile of the real surface acquired by means of a stylus instrument. The traced profile consists of form deviations, waviness and roughness components. (EN ISO 3274, DIN 4760)

**Parameters** usually are defined over the sampling length. An average parameter estimate is calculated by taking the arithmetic mean of the parameter estimates from all the individual sampling lengths. For roughness profile parameters the standard number of sampling lengths is five.

For curves and related parameters (e.g. material ratio) the basis for the calculation of the parameters' values is the evaluation length. (EN ISO 4288)

**Traversing length**  $l_t$  is the overall length traveled by the stylus when acquiring the traced profile. It is the sum of pre-travel, evaluation length  $l_n$ , and post-travel.

**Cutoff**  $\lambda_c$  of a profile filter determines which wavelengths belong to roughness and which ones to waviness.

**Sampling length**  $l_s$  is the reference for roughness evaluation. Its length is equal to the cutoff wavelength  $\lambda_c$ .

The sampling lengths  $l_p$  and  $l_w$ , respectively, are the reference lengths for the P-profile and the W-profile evaluation.

**Evaluation length**  $l_n$  is that part of the traversing length  $l_t$  over which the values of surface parameters are determined. The standard roughness evaluation length comprises five consecutive sampling lengths.

**Pre-travel** is the first part of the traversing length  $l_t$ .

**Post-travel** is the last part of the traversing length  $l_t$ . Pre-travel and post-travel are required for phase correct filtering.

## Geometrical Product Specification

ISO/TR 14638, DIN V 32950

**Geometrical Product Specification (GPS)** implies different kinds of standards dealing with the geometric characteristics of products during product design, manufacture, inspection, quality assurance, etc. In the **GPS matrix model**, the lines comprise chains of standards dealing with one and the same characteristic such as e.g. size, distance, form features, roughness, waviness, etc. The columns (i.e. the links of the chains) then are:

1. Drawing specifications (EN ISO 1302)
2. Theoretical definitions (EN ISO 4287, 11562, 12085, and 3565)
3. Parameter definitions (EN ISO 4288, 11562, 12085, and 3565)
4. Assessment of deviations (EN ISO 4288 and 12085)
5. Measurement equipment requirements (EN ISO 3274 and 11562)
6. Calibration requirements (EN ISO 5436 and 12179)

The most important standards in the field of **surface texture** are detailed in parentheses ().

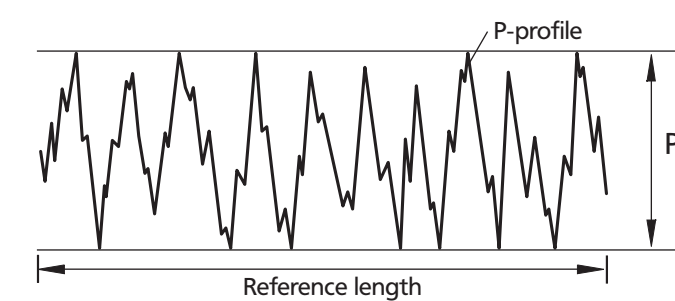
## P<sub>t</sub> Profile Depth

EN ISO 4287

**Profile depth**  $P_t$  (total height of P-profile) is the sum of the largest profile peak height and the largest profile valley depth of the P-profile within the evaluation length  $l_n$  (reference length). The reference length has to be stated.

**P-profile (primary profile)** is computed from the traced profile

- by excluding the nominal form by using the method of best fit least squares of the type indicated in the drawing, e.g. a linear regression line and
- by excluding ultra-short wavelengths from the evaluation by using the  $\lambda_c$  profile filter, which considerably increases comparability. (EN ISO 3274)



## Profile Filter

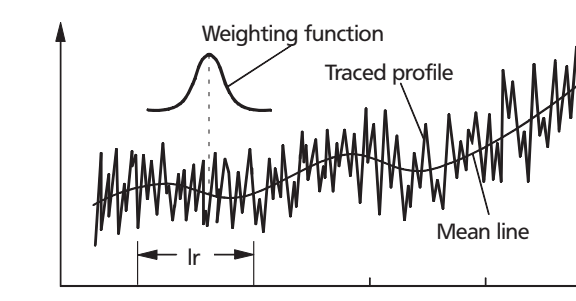
EN ISO 11562, ASME B46.1

**Profile filters** separate profiles into long wave and short wave components. The  $\lambda_c$  profile filter separates the roughness profile from long wave components (e.g. waviness).

**Mean line** is generated by a phase correct filter by calculating the weighted average for each point of the profile.

**Weighting function** indicates for each point of the profile the assessment factor with which the adjacent profile points enter into averaging (Gaussian curve).

**R-profile (roughness profile)** represents the deviations of the primary profile from the mean line of the  $\lambda_c$  profile filter. When presenting the roughness profile, the mean line is the zero line.



## Selection of Cutoff $\lambda_c$

EN ISO 4288, ASME B46.1

Periodic profiles	Nonperiodic profiles	Cutoff	Sampl./ Eval. length
$R_m$ (mm)	$R_z$ ( $\mu m$ ) $R_a$ ( $\mu m$ )	$\lambda_c$ (mm)	$l_s / l_n$ (mm)
over .013 up to .04	up to .1 over .1	up to .08 .02	.08 / .4
over .04 up to .13	up to .5 over .5	over .02 up to .1	.25 / 1.25
over .13 up to .4	up to 10 over 10	over .1 up to 2	.8 / 4
over .4 up to 1.3	up to 50 over 50	over 2 up to 10	2.5 / 12.5
over 1.3 up to 4	up to 200	over 10 up to 80	8 / 40

## W<sub>t</sub> Waviness Height

EN ISO 4287, ASME B46.1

**Waviness height**  $W_t$  (total height of W-profile) is the sum of the largest profile peak height and the largest profile valley depth of the W-profile within the evaluation length  $l_n$  (reference length).

The evaluation length  $l_n$  (reference length) has to be stated.

**W-profile (waviness profile)** is the mean line generated from the P-profile by the  $l_c$  profile filter. The long wave profile components which belong to the form are excluded.

## R<sub>a</sub>, R<sub>q</sub> Mean Roughness

EN ISO 4287, ASME B46.1

**Roughness average**  $R_a$  is the arithmetic average of the absolute values of the roughness profile ordinates.

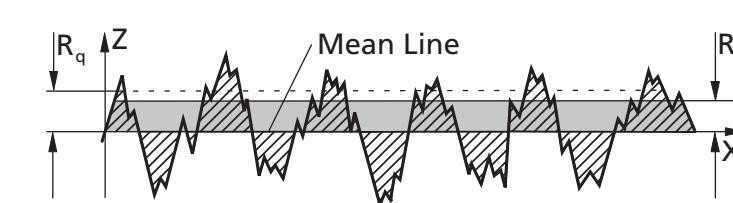
$$R_a = \frac{1}{l_n} \int_0^{l_n} |z(x)| dx$$

**Root mean square (RMS) roughness**  $R_q$  is the root mean square average of the roughness profile ordinates.

$$R_q = \sqrt{\frac{1}{l_n} \int_0^{l_n} z^2(x) dx}$$

$Z(x)$  = profile ordinates of the roughness profile.

$R_a$  is also called AA and CLA,  $R_q$  also RMS.



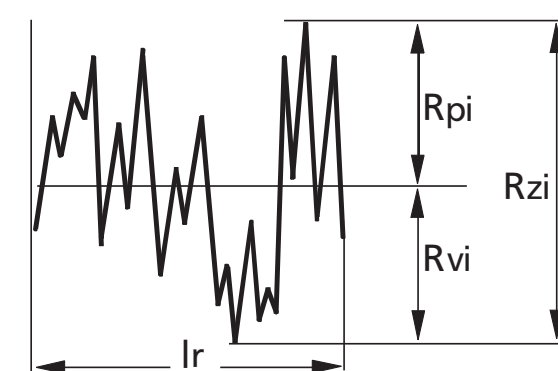
## R<sub>p</sub> Peak Height, R<sub>v</sub>

EN ISO 4287, ASME B46.1

$R_p$  is the height of the highest profile peak of the roughness profile within one sampling length. According to ASME, the  $R_p$  mean value (average calculated over the evaluation length) is called  $R_{pm}$ .

$R_v$  is the depth of the deepest profile valley of the roughness profile within one sampling length. So far, the parameter symbol  $R_m$  was used in place of  $R_v$ .

The sum of  $R_p$  +  $R_v$  is the single roughness depth  $R_{zi}$ .



## R<sub>z</sub>, R<sub>max</sub> Roughness Depth

EN ISO 4287, ASME B46.1

**Single roughness depth**  $R_{zi}$  is the vertical distance between the highest peak and the deepest valley within a sampling length.

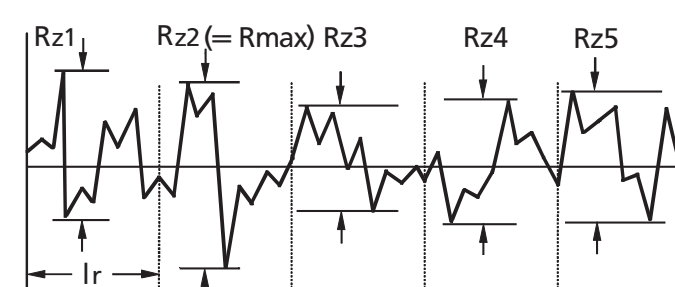
**Mean roughness depth**  $R_z$  is the arithmetic mean value of the single roughness depths  $R_{zi}$  of consecutive sampling lengths:

$$R_z = \frac{1}{n} (R_{z1} + R_{z2} + \dots + R_{zn})$$

The  $R_z$  definition is identical to the definition in DIN 4768:1990. The ten point height  $R_t$  as well as the parameter symbol  $R_p$  of ISO 4287:1984 have been canceled.

**Maximum roughness depth**  $R_{max}$  is the largest single roughness depth within the evaluation length.

(cf. EN ISO 4288;  $R_{max}$  is also called  $R_{rtmax}$ )



## R<sub>3z</sub> Base Roughness Depth

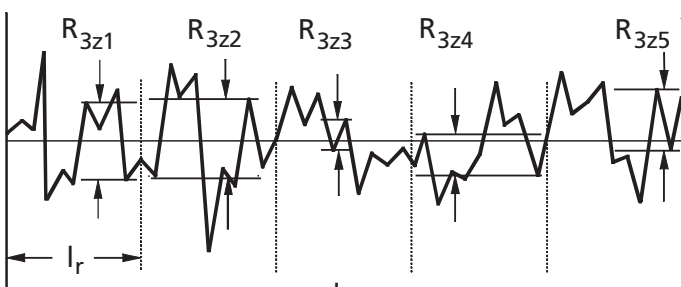
Daimler Benz Standard 31007 (1983)

**Single roughness depth**  $R_{3zi}$  is the vertical distance of the third highest peak to the third deepest valley of the roughness profile within a sampling length  $l_r$ .

**Base roughness depth**  $R_{3z}$  is the mean value of the single roughness depths  $R_{3zi}$  of five consecutive sampling lengths  $l_r$ :

$$R_{3z} = \frac{1}{5} (R_{3z1} + R_{3z2} + R_{3z3} + R_{3z4} + R_{3z5})$$

Profile peak and profile valley must exceed certain vertical and horizontal minimum values.



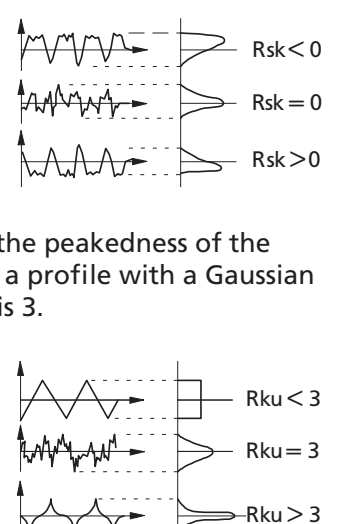
## R<sub>sk</sub>, R<sub>ku</sub>

EN ISO 4287, ASME B46.1

**Skewness**  $R_{sk}$  is a measure of the asymmetry of the amplitude density curve. A negative skewness value indicates a surface with good bearing properties.

$$R_{sk} = \frac{1}{R_q^3} \int_0^{l_n} z^3(x) dx$$

**Kurtosis**  $R_{ku}$  is a measure of the peakedness of the amplitude density curve. For a profile with a Gaussian amplitude density curve  $R_{ku}$  is 3.

$$R_{ku} = \frac{1}{R_q^4} \int_0^{l_n} z^4(x) dx$$


Skewness and Kurtosis are strongly influenced by isolated peaks and valleys, fact which reduces their practical importance.

## R<sub>mr</sub>, t<sub>p</sub> Material Ratio

EN ISO 4287, ASME B46.1

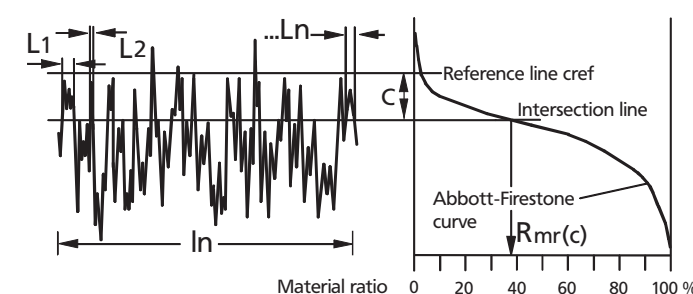
**Material ratio**  $R_{mr}$  (ASME: bearing length ratio  $t_p$ ) is the ratio expressed in percent of the material-filled length to the evaluation length  $l_n$  at the profile section level  $c$ .

$$R_{mr} = (L_1 + L_2 + \dots + L_n) 100 [\%]$$

The profile section level  $c$  is the distance between the evaluated intersection line and the specified reference line  $c_{ref}$ .

**Material ratio curve (Abbott-Firestone curve)** shows the material ratio  $R_{mr}$  as a function of the profile section level  $c$ .

The material ratio can also be evaluated on the P- or the W-profile ( $P_{mr}$  or  $W_{mr}$ ).



## R<sub>k</sub>, R<sub>pk</sub>, R<sub>vk</sub>, M<sub>r1</sub>, M<sub>r2</sub>

EN ISO 13565-1 and -2

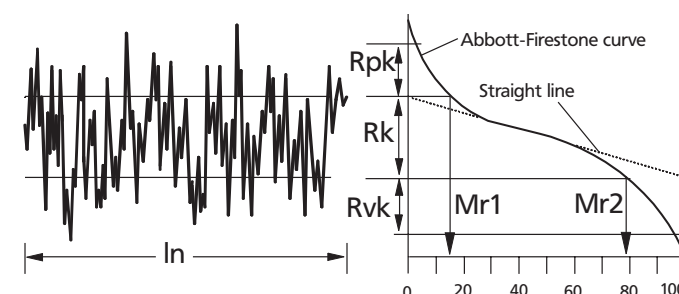
The roughness profile as per 13565-1 is generated by a special filtering technique minimizing profile distortions due to deep valleys in plateau profiles. A straight line divides the Abbott-Firestone curve into three areas from which the parameters are then computed as per 13565-2:

**Core roughness depth**  $R_k$  is the depth of the roughness core profile.

**Reduced peak height**  $R_{pk}$  is the mean height of the peaks protruding from the roughness core profile.

**Reduced valley depth**  $R_{vk}$  is the mean depth of the valleys protruding from the roughness core profile.

$M_{r1}$  and  $M_{r2}$  are the smallest and the highest material ratios of the roughness core profile.



## R<sub>sm</sub>, R $\Delta$ q

EN ISO 4287, ASME B46.1

**Mean width of profile elements**  $R_{sm}$  is the arithmetic mean value of the widths of profile elements of the roughness profile.

$$R_{sm} = \frac{1}{n} \sum_{i=1}^n X_{si}$$

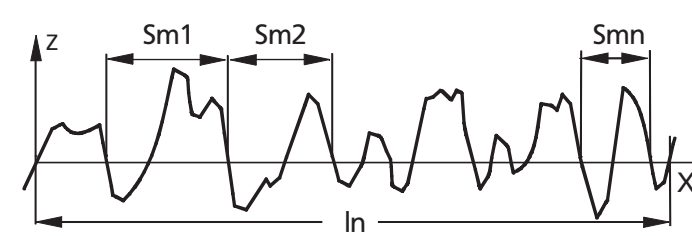
A profile element consists of a profile peak and an adjacent profile valley.

$X_{si}$  is an older designation for  $R_{sm}$ .

**Root mean square slope**  $R_{\Delta q}$  is the root mean square average of all local profile slopes.

$$R_{\Delta q} = \sqrt{\frac{1}{l_n} \int_0^{l_n} \left( \frac{dz}{dx} \right)^2 dx}$$

The local profile slope is computed via a leveling function in order to reduce the influence of noise.

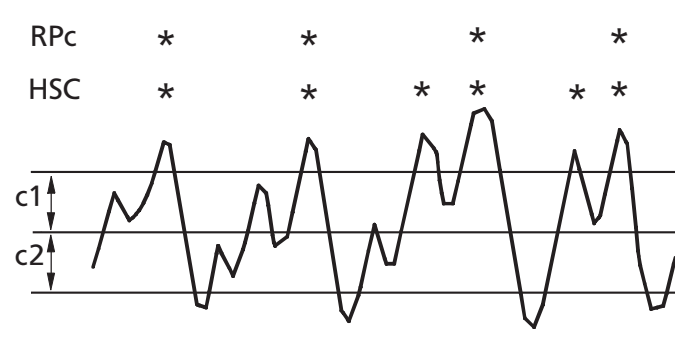


## RP<sub>c</sub>, HSC Peak Count

prEN 10049, ASME B46.1

**Peak count**  $RP_c$  is the number of roughness profile elements (see  $R_{sm}$ ) per cm which consecutively intersect the specified upper profile section level  $c_1$  and the lower profile section level  $c_2$ .

**High spot count**  $HSC$  is the number of roughness profile peaks per cm exceeding the specified upper profile section level  $c_1$ .



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